



*1fu*

DOCKET NO. :   SUG-020-USA-PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
**S. Hazama, et al.**

Serial No.: 10/677,319

Art Unit: 1722

Filed: **October 3, 2003**

Examiner: **J. Mackey**

For: **Motor-Driven Injection Molding Apparatus**

**SECOND ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. 1.27 AND 1.28**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The present assignee herein, Daiwa Kasei Industry Co., Ltd., is entitled to small entity status according to 37 C.F.R. 1.27. An Assertion of Small Entity Status was filed herein on April 11, 2006. However, applicant has just received a Notice of Allowance, stating that a large entity issue fee of \$1400 is now due. Further, the undersigned has checked the Private PAIR records today, and notes that the Assertion of Small Entity Status has yet to be entered into the online case file.

Apparently, the Assertion of Small Entity Status has not been entered into this case, resulting in an incorrect issue fee being required in the Notice of Allowance herein. Accordingly, it is again respectfully submitted that the applicant herein, Daiwa Kasei Industry Co., Ltd, is entitled to small entity status, and subsequently reduced filing fees are accordingly respectfully requested herein.

Respectfully submitted,

*Donald E. Townsend, Jr.*

Donald E. Townsend, Jr.  
Reg. No. 43,198

Date: August 23, 2006



DOCKET NO. :   SUG-020-USA-PCT

**CUSTOMER NO. 27955**

TOWNSEND & BANTA  
c/o PortfolioIP  
P.O. Box 52050  
Minneapolis, MN 55402  
(202) 220-3124

**CERTIFICATE OF MAILING**

I hereby certify that this Second Assertion of Small Entity Status in patent application Serial No. 10/677,319, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on August 23, 2006.

Donald E. Townsend, Jr.

Donald E. Townsend, Jr.



DOCKET NO. :   SUG-020-USA-PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
**S. Hazama, et al.**

Serial No.: **10/677,319**

Art Unit: **1722**

Filed: **October 3, 2003**

Examiner: **J. Mackey**

For: **Motor-Driven Injection Molding Apparatus**

**ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. 1.27 AND 1.28**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The present assignee herein, Daiwa Kasei Industry Co., Ltd., is entitled to small entity status according to 37 C.F.R. 1.27. Small entity status, and subsequently reduced filing fees, are accordingly respectfully requested herein.

Respectfully submitted,

*Donald E. Townsend, Jr.*

Donald E. Townsend, Jr.  
Reg. No. 43,198

Date: April 11, 2006

**CUSTOMER NO. 27955**

TOWNSEND & BANTA  
c/o PortfolioIP  
P.O. Box 52050  
Minneapolis, MN 55402  
(202) 220-3124



DOCKET NO. : SUG-020-USA-PCT

### CERTIFICATE OF MAILING

I hereby certify that this Assertion of Small Entity Status in patent application Serial No. 10/677,319, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on April 11, 2006.

Donald E. Townsend, Jr.

Donald E. Townsend, Jr.



## FILING RECEIPT

Serial No.: 10/677,319

Docket No.: SUG-020-USA-P

Filed: October 3, 2003

Inventor: S. Hazama

COMMISSIONER OF PATENTS & TRADEMARKS

Mail Stop: Issue Fee

Please acknowledge receipt of the following items by date stamping (an if to be mailed, returning) this card to us.

- ☐ Transmittal in Duplicate
- ☐ Response to Restriction Requirement
- ☐ Amendment
- ☐ Transmittal of Missing Parts, PTO Form 1533, Dec./POA & check for \$130
- ☐ Assignment, Cover Sheet & Check for \$40
- ☐ Transmittal and Certified Copy of priority application
- ☐ Information Disclosure Statement, PTOL 1449 and references
- ☐ Petition for Extension of Time, and check for \$ \_\_\_\_\_
- ☐ Request for Continued Examination, and check for \$ \_\_\_\_\_
- ☐ Transmittal and Formal Drawings
- ☐ Transmittal of Issue Fee (duplicate), PTOL 85B and check for \$ \_\_\_\_\_
- ☐ Check for \$ \_\_\_\_\_
- ☐ Credit Card Payment Form
- ☒ Other: Second Assertion of Small Entity Status
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_

**LAW OFFICES OF TOWNSEND & BANTA**